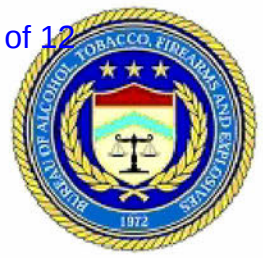


Ex. R

DEPARTMENT OF THE JUSTICE
BUREAU OF ALCOHOL, TOBACCO, FIREARMS AND EXPLOSIVES
Denial Enforcement and NICS Intelligence Branch
 Phone: (304) 616-4200 Fax: (866) 561-2048

Print Date: December 29, 2019



ATF NICS Referral Application Field Office Referral Report

Warning: This referral should NOT be sent to the field.

Buyer Name: KEITH THOMAS KINNUNEN

NTN: 1D0HXLZ

Applicant Information

Date Of Birth: [REDACTED] **Sex:** Male
SSN: [REDACTED] **Race:** White
Height: 5 Ft. 09 In. **Weight:** 160 Lbs.
Address:

City:
State: **Zip:**
Address Source:
State of Res: TX **Country of Residence :**
County of Res:
Phone: **Fax:**
Place Of Birth: ARIZONA
Misc Id No:
Misc Id Type:
Retrieval Code / Description 99
 All Denials that DO NOT require a firearm retrvl

Denial Information

FFL Id: 575439019F41424
FBI Created Date: 05/18/2009 03:49 PM
SFL ID:
FBI Denied Date: 05/22/2009 01:32 PM
Region: 12 **State Of Purchase:** TX

<u>Purpose Code</u>	<u>Description</u>
02	- Sale of a Long Gun

Dealer Name: WINCHESTER GALLERY
License Name: WINCHESTER GALLERY, INC
Address: 6054 E LANCASTER
City: FORT WORTH
State: TX **Zip:** 76112
Phone: 8174969391 **Fax:** 8175070215

DEPARTMENT OF THE JUSTICE
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Print Date: December 29, 2019

ATF NICS Referral Application Field Office Referral Report

Warning: This referral should NOT be sent to the field.

Buyer Name: KEITH THOMAS KINNUNEN

NTN: 1D0HXLZ

Denial Record Information

Denied Number	Database	Prohibited Category	Criminal History
461821LB8	III	A2 - 18 USC 922 (n) Under Indictment/Information	

Denial Status Information

Denial Status: NOT REFERRED

Field Division: Dallas

Qualifying Criteria: 39 N1-Under Indictment/Information Filed

Field Office: FORT WORTH

DECISION NOTES

JT Miller 05/20/2009
 TX Northern Distr
 Subject was placed under indictment.
 Date of indictment was: 03/18/2009
 Charge was: aggravated assault deadly weapon

United States State Department Information

For additional information, please contact the US State Dept.

State Dept Suspect?: N

Research Analyst:

Research Date:

United States Secret Service Information

For additional information, please contact the US Secret Service.

USSS Suspect?: N

Research Analyst:

Research Date:

DEPARTMENT OF THE JUSTICE
BUREAU OF ALCOHOL, TOBACCO, FIREARMS AND EXPLOSIVES
Denial Enforcement and NICS Intelligence Branch
 Phone: (304) 616-4200 Fax: (866) 561-2048



Print Date: December 29, 2019

ATF NICS Referral Application Field Office Referral Report

Warning: This referral should NOT be sent to the field.

Buyer Name: KEITH THOMAS KINNUNEN

NTN: 1D0HXLZ

FBI Comments

05/18/2009 15:52:31 -- FRAMENC
 delay record partial
 ntn inquiry 1
 The Brady Transfer Date is: Friday May 22, 2009

05/19/2009 14:37:04 -- SKINNERRL
 COMMENTS/
 Based on descriptors hit in III match FBI/461821LB8-SID/AZ14072128, there are no disqualifiers for SID/AZ14072128; hit in III also match SID/TX08283706, possible disqualifier per Federal Prohibitor 1:

EVENT CYCLE 1

TRACKING NUMBER 9132860560
 ARREST DATE 12-03-2008
 TYPE ADULT
 AGENCY TX2201200 - FORT WORTH PD
 NAME KINNUNEN,KEITH T

TRACKING SUFFIX A001

OFFENSE DATA

AGENCY ID NUMBER 0837201
 AGENCY CASE NUMBER 080140728
 OFFENSE AGENCY TX2201200 - FORT WORTH PD
 OFFENSE AGG ASSLT W/DEADLY WEAPON
 CITATION PC 22.02(a)(2)
 OFFENSE DESC AGG ASSLT W/DEADLY WEAPON
 LEVEL DEGREE FELONY - 2ND DEGREE
 DISPOSITION HELD
 DISPOSITION DATE 12-03-2008
 REFERRED TX2201200 - FORT WORTH PD

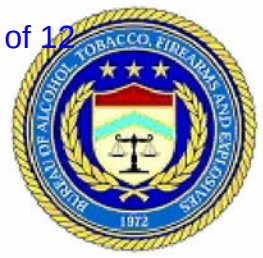
NO PROSECUTION DATA AVAILABLE

NO COURT DATA AVAILABLE

NTN INQUIRY=prior transactions
 IAFIS RESULTS=DOA 12/03/2008 listed w/o dispo
 NCIC RESULTS=na
 VAF RESULTS=na
 ATFRDD RESULTS=no hits
 DDF RESULTS=file found for FBI/461821LB8 but nothing on file for DOA 12/03/2008
 WEBSITE RESULTS=no website for Tarrant Co, Texas

DEPARTMENT OF THE JUSTICE
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Denial Enforcement and NICS Intelligence Branch
 Phone: (304) 616-4200 Fax: (866) 561-2048

Print Date: December 29, 2019



ATF NICS Referral Application Field Office Referral Report

Warning: This referral should NOT be sent to the field.

Buyer Name: KEITH THOMAS KINNUNEN

NTN: 1D0HXLZ

FBI Comments (cont.)

Calling Tarrant Co DA 817-884-1620 for dispo/indictment
 Status open

05/19/2009 14:52:12 -- SKINNERRL

COMMENTS/

Called Tarrant Co DA 817-884-1620 and spoke w/Janet who said the subject was Indicted on March 18, 2009 for DOA 12/03/2008 AGG
 ASSLT W/DEADLY WEAPON. Janet said the next court date is scheduled for May 26, 2009

Based on the Felony Indictment, this NTN is DENY per Federal Prohibitor 1
 Did not forward -no hard copy of the Indictment

05/19/2009 14:55:46 -- SKINNERRL

FFL/Appellant Notified has been set for NTN 1D0HXLZ

NTN Status: Denied

Called FFL, David verified FFL ID, advised NTN is DENY

No firearm transferred

NTN Inq-other NTN, completed

05/22/2009 13:32:39 -- SKINNERRL

COMMENTS/

SUBJECT CALLED TO QUESTION WHY HE WAS DENIED. I WAS GIVING HIM THE NICS APPEAL SERVICES TEAM
 INFORMATION AND ADDRESS AND LOST CONTACT WITH THE SUBJECT. IT SOUNDED LIKE HE WAS USING A CELL
 PHONE AND CONTACT WAS LOST

III Response Data

LL011D0HXLZ00320000

WVNICS000

THIS NCIC INTERSTATE IDENTIFICATION INDEX RESPONSE IS THE RESULT OF YOUR
 INQUIRY ON NAM/KINNUNEN,KEITH THOMAS SEX/M RAC/W DOB/19761126

SOC/601083213 PUR/F

NAME	FBI NO.	INQUIRY DATE
KINNUNEN,KEITH THOMAS	461821LB8	2009/05/18

SEX RACE BIRTH DATE HEIGHT WEIGHT EYES HAIR PHOTO
 M W 1976/11/26 508 157 HAZ BRO N

BIRTH PLACE
 ARIZONA

FINGERPRINT CLASS PATTERN CLASS

DEPARTMENT OF THE JUSTICE
BUREAU OF ALCOHOL, TOBACCO, FIREARMS AND EXPLOSIVES
Denial Enforcement and NICS Intelligence Branch
 Phone: (304) 616-4200 Fax: (866) 561-2048

Print Date: December 29, 2019



ATF NICS Referral Application Field Office Referral Report

Warning: This referral should NOT be sent to the field.

Buyer Name: KEITH THOMAS KINNUNEN

NTN: 1D0HXLZ

III Response Data (cont.)

WU WU WU WU RS AU WU WU LS LS
 LS RS WU WU WU WU

ALIAS NAMES
 KINNUNEN,KEITH T

SCARS-MARKS-
 TATTOOS SOCIAL SECURITY MISC NUMBERS
 TAT ABDOM [REDACTED] AF-601083213
 TAT CHEST

IDENTIFICATION DATA UPDATED 2008/12/03

THE CRIMINAL HISTORY RECORD IS MAINTAINED AND AVAILABLE FROM THE
 FOLLOWING:

ARIZONA - STATE ID/AZ14072128 - COURT DISPOSITION PENDING
 CONVICTION STATUS UNKNOWN
 TEXAS - STATE ID/TX08283706 - COURT DISPOSITION PENDING
 CONVICTION STATUS UNKNOWN

THE RECORD(S) CAN BE OBTAINED THROUGH THE INTERSTATE IDENTIFICATION
 INDEX BY USING THE APPROPRIATE NCIC TRANSACTION

END
 EL011D0HXLZ03330000
 WVNICS000

THIS INTERSTATE IDENTIFICATION INDEX RESPONSE IS THE RESULT OF YOUR
 RECORD REQUEST FOR FBI/461821LB8. INDIVIDUAL'S RECORD WILL BE
 COMPLETE WHEN ALL RESPONSES ARE RECEIVED FROM THE FOLLOWING SOURCES:

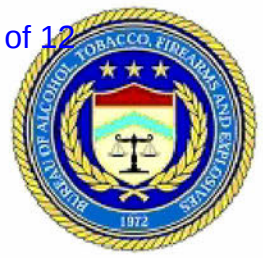
ARIZONA - STATE ID/AZ14072128 - COURT DISPOSITION PENDING
 CONVICTION STATUS UNKNOWN
 TEXAS - STATE ID/TX08283706 - COURT DISPOSITION PENDING
 CONVICTION STATUS UNKNOWN

END

ML0105182009
 WVNICS000
 CR.TXIII0000
 12:51 05/18/2009 83104
 12:51 05/18/2009 83800 WVNICS000
 TXT

DEPARTMENT OF THE JUSTICE
BUREAU OF ALCOHOL, TOBACCO, FIREARMS AND EXPLOSIVES
Denial Enforcement and NICS Intelligence Branch
 Phone: (304) 616-4200 Fax: (866) 561-2048

Print Date: December 29, 2019



ATF NICS Referral Application Field Office Referral Report

Warning: This referral should NOT be sent to the field.

Buyer Name: KEITH THOMAS KINNUNEN

NTN: 1D0HXLZ

III Response Data (cont.)

HDR/2L011D0HXLZ03330000
 ATN/1D0HXLZ 18MAY2009 1549
 THIS RECORD IS BASED ON THE SID NUMBER IN YOUR REQUEST-
 SID/TX08283706.FBI/461821LB
 TEXAS DEPARTMENT OF PUBLIC SAFETY COMPUTERIZED CRIMINAL HISTORY
 THE FOLLOWING RECORD PERTAINS TO DPS NUMBER/TX 08283706
 NAME(S)
 KINNUNEN,KEITH T
 FBI NUMBER DPS NUMBER
 461821LB8 TX 08283706
 SOCIAL SECURITY DRIVERS LICENSE ID NUMBER
 [REDACTED] [REDACTED] TX
 SEX RACE SKIN TONE
 M W LGT
 HEIGHT WEIGHT DATE OF BIRTH
 509 160 11-26-1976
 HAIR COLOR EYE COLOR FINGERPRINT PATTERN
 RED HAZ
 AFIS FINGERPRINT RIDGE COUNT PRIMARY CLASS
 WSWWRWSWSL
 PLACE OF BIRTH CITIZEN III CODE
 AZ US MULTI-STATE
 DATE OF REPORT ORIGINATION DATE DATE OF LAST UPDATE
 05-18-2009 12-03-2008 12-03-2008

EVENT CYCLE 1

TRACKING NUMBER 9132860560
 ARREST DATE 12-03-2008
 TYPE ADULT
 AGENCY TX2201200 - FORT WORTH PD
 NAME KINNUNEN,KEITH T

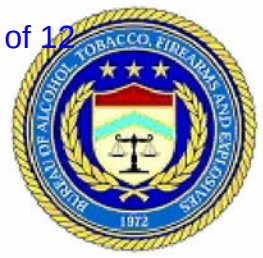
TRACKING SUFFIX A001

OFFENSE DATA

AGENCY ID NUMBER 0837201
 AGENCY CASE NUMBER 080140728
 OFFENSE AGENCY TX2201200 - FORT WORTH PD
 OFFENSE AGG ASSLT W/DEADLY WEAPON
 CITATION PC 22.02(a)(2)
 OFFENSE DESC AGG ASSLT W/DEADLY WEAPON
 LEVEL & DEGREE FELONY - 2ND DEGREE
 DISPOSITION HELD

DEPARTMENT OF THE JUSTICE
BUREAU OF ALCOHOL, TOBACCO, FIREARMS AND EXPLOSIVES
Denial Enforcement and NICS Intelligence Branch
 Phone: (304) 616-4200 Fax: (866) 561-2048

Print Date: December 29, 2019



ATF NICS Referral Application Field Office Referral Report

Warning: This referral should NOT be sent to the field.

Buyer Name: KEITH THOMAS KINNUNEN

NTN: 1D0HXLZ

III Response Data (cont.)

DISPOSITION DATE 12-03-2008
 REFERRED TX2201200 - FORT WORTH PD

 NO PROSECUTION DATA AVAILABLE

NO COURT DATA AVAILABLE

NO CUSTODY DATA AVAILABLE

UNAUTHORIZED USE OR DISCLOSURE OF THE INFORMATION CONTAINED IN THIS RECORD
 MAY RESULT IN SEVERE CRIMINAL PENALTIES
 SEE TEXAS GOVERNMENT CODE SECTION 411.085
 END OF RECORD

CRIME RECORDS SERVICE DPS AUSTIN TX 05/18/2009

ML0105182009

WVNICS000

CR.AZIII0000

12:51 05/18/2009 76700

12:51 05/18/2009 83801 WVNICS000

TXT

HDR/2L011D0HXLZ03330000

ATN/1D0HXLZ 18MAY2009 1549.FBI/461821LB8.PUR/F

THIS RECORD IS BASED ONLY ON THE SID NUMBER IN YOUR REQUEST-SID/AZ14072128

DATE 05-18-2009 ARIZONA DEPARTMENT OF PUBLIC SAFETY PAGE 0001

TIME 12.51 AUTOMATED CRIMINAL HISTORY

SID/ AZ14072128

NAM/ KINNUNEN, KEITH THOMAS FBI/ 461821LB8 SOC/ 501-08-3213

SEX/ M RAC/ W DOB/ 11-26-1976 POB/ AZ III STA/ M DATE/ 10-11-1999

HGT/ 510 WGT/ 160 EYE/ BLU HAI/ RED SKN/ PURGE ON/ 11-26-2075

HFP/ - - - - FPC/ - - - - DATE ENTERED/ 06-26-1999

- - - - - DATE UPDATED/ 12-03-2008

SMT/ TAT CHEST TAT ABDOM

RAP/ NO FELONY CONVICTIONS.

ADDITIONAL IDENTIFIERS

SOC/ 601-08-3213

***** CONTINUED ON NEXT PAGE *****ML0105182009

WVNICS000

CR.AZIII0000

12:51 05/18/2009 76701

12:51 05/18/2009 83802 WVNICS000

TXT

HDR/2L011D0HXLZ03330000

ATN/1D0HXLZ 18MAY2009 1549.FBI/461821LB8.PUR/F

THIS RECORD IS BASED ONLY ON THE SID NUMBER IN YOUR REQUEST-SID/AZ14072128

DEPARTMENT OF THE JUSTICE
BUREAU OF ALCOHOL, TOBACCO, FIREARMS AND EXPLOSIVES
Denial Enforcement and NICS Intelligence Branch
 Phone: (304) 616-4200 Fax: (866) 561-2048



Print Date: December 29, 2019

ATF NICS Referral Application Field Office Referral Report

Warning: This referral should NOT be sent to the field.

Buyer Name: KEITH THOMAS KINNUNEN

NTN: 1D0HXLZ

III Response Data (cont.)

DATE 05-18-2009 ARIZONA DEPARTMENT OF PUBLIC SAFETY PAGE 0002
 TIME 12.51 AUTOMATED CRIMINAL HISTORY
 SID/ AZ14072128
 **
 ARREST/ 06-18-1999 AGENCY/ AZ0100700 ORO VALLEY PD
 NAME/ KINNUNEN, KEITH THOMAS CASE/ OROV99060570 PCN/ 5001000080
 001 5202 CARRYING CONCEALED WEAPON MIS
 DISPO/ 07-21-1999 AGENCY/ AZ010041J ORO VALLEY CITY MAGIS CRT
 5202 CARRYING CONCEALED WEAPON MIS
 DISPO/ COURT DISMISSAL CASE/ CR99281
 **
 ARREST/ 07-03-2004 AGENCY/ AZ0100300 TUCSON PD
 NAME/ KINNUNEN, KEITH THOMAS CASE/ TUS0407020942 PCN/ 2703192804
 001 13-1201 ENDANGERMENT FEL
 OFFENSE DATE/ 07-02-2004
 ***** CONTINUED ON NEXT PAGE *****ML0105182009
 WVNICS000
 CR.AZIII0000
 12:51 05/18/2009 76704
 12:51 05/18/2009 83803 WVNICS000
 TXT
 HDR/2L011D0HXLZ03330000
 ATN/1D0HXLZ 18MAY2009 1549.FBI/461821LB8.PUR/F
 THIS RECORD IS BASED ONLY ON THE SID NUMBER IN YOUR REQUEST-SID/AZ14072128
 DATE 05-18-2009 ARIZONA DEPARTMENT OF PUBLIC SAFETY PAGE 0003
 TIME 12.51 AUTOMATED CRIMINAL HISTORY
 SID/ AZ14072128
 DISPO/ 07-12-2004 AGENCY/ AZ010013A PIMA CO ATTY,TUCSON
 13-1201 ENDANGERMENT FEL
 DISPO/ NO COMPLAINT FILED CASE/ CASE # UNK
 002 13-1201 ENDANGERMENT FEL
 OFFENSE DATE/ 07-02-2004
 DISPO/ 07-12-2004 AGENCY/ AZ010013A PIMA CO ATTY,TUCSON
 13-1201 ENDANGERMENT FEL
 DISPO/ NO COMPLAINT FILED CASE/ CASE # UNK
 DATA TO BE USED ONLY FOR CRIMINAL JUSTICE OR OTHER LAWFUL PURPOSES.
 END OF RECORD

Firearms Transaction Record Part I -
Over-the-Counter

WARNING: You may not receive a firearm if prohibited by Federal or State law. The information you provide will be used to determine whether you are prohibited under law from receiving a firearm. Certain violations of the Gun Control Act, 18 U.S.C. §§ 921 *et seq.*, are punishable by up to 10 years imprisonment and/or up to a \$250,000 fine.

Transferor's Transaction
Serial Number (If any)

9589

Prepare in original only. All entries must be handwritten in ink. Read the Notices, Instructions, and Definitions on this form. "PLEASE PRINT."

Section A - Must Be Completed Personally By Transferee (Buyer)

1. Transferee's Full Name Last Name KINNUNEN		First Name Kerth		Middle Name (If no middle name, state "NMN") Thomas	
2. Current Residence Address (U.S. Postal abbreviations are acceptable. Cannot be a post office box.) Number and Street Address [REDACTED]		City Ft Worth TX		County Tarrant TX	State TX
3. Place of Birth U.S. City and State USA -OR- Tucson AZ		4. Height Feet 5'9" Inches 9"		5. Weight (Lbs.) 160	6. Gender Male <input checked="" type="checkbox"/> Female <input type="checkbox"/>
7. Birth Date Month Day Year [REDACTED]		8. Social Security Number (Optional, but will help prevent misidentification) [REDACTED]			
9. Unique Personal Identification Number (UPIN) if applicable (See Instructions for Question 9.) [REDACTED]					
10. Race (Ethnicity) (Check one or more boxes. See Instructions for Question 10.) <input type="checkbox"/> American Indian or Alaska Native <input type="checkbox"/> Black or African American <input type="checkbox"/> Native Hawaiian or Other Pacific Islander <input type="checkbox"/> Hispanic or Latino <input type="checkbox"/> Asian <input checked="" type="checkbox"/> White					
11. Answer questions 11.a. (see exceptions) through 11.i. and 12. (if applicable) by checking or marking "yes" or "no" in the boxes to the right of the questions.					
a. Are you the actual transferee/buyer of the firearm(s) listed on this form? Warning: You are not the actual buyer if you are acquiring the firearm(s) on behalf of another person. If you are not the actual buyer, the dealer cannot transfer the firearm(s) to you. (See Instructions for Question 11.a.) Exception: If you are picking up a repaired firearm(s) for another person, you are not required to answer 11.a. and may proceed to question 11.b.				Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
b. Are you under indictment or information in any court for a felony, or any other crime, for which the judge could imprison you for more than one year? (See Instructions for Question 11.b.)				Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
c. Have you ever been convicted in any court of a felony, or any other crime, for which the judge could have imprisoned you for more than one year, even if you received a shorter sentence including probation? (See Instructions for Question 11.c.)				Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
d. Are you a fugitive from justice?				Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
e. Are you an unlawful user of, or addicted to, marijuana or any depressant, stimulant, narcotic drug, or any other controlled substance?				Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
f. Have you ever been adjudicated mentally defective (which includes a determination by a court, board, commission, or other lawful authority that you are a danger to yourself or to others or are incompetent to manage your own affairs) OR have you ever been committed to a mental institution? (See Instructions for Question 11.f.)				Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
g. Have you been discharged from the Armed Forces under dishonorable conditions?				Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
h. Are you subject to a court order restraining you from harassing, stalking, or threatening your child or an intimate partner or child of such partner? (See Instructions for Question 11.h.)				Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
i. Have you ever been convicted in any court of a misdemeanor crime of domestic violence? (See Instructions for Question 11.i.)				Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
j. Have you ever renounced your United States citizenship?				Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
k. Are you an alien illegally in the United States?				Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
l. Are you a nonimmigrant alien? (See Instructions for Question 11.l.) If you answered "no" to this question, do NOT respond to question 12 and proceed to question 13.				Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
12. If you are a nonimmigrant alien, do you fall within any of the exceptions set forth in the instructions? (If "yes," the licensee must complete question 20d.) (See Instructions for Question 12.) If question 11.l. is answered with a "no" response, then do NOT respond to question 12 and proceed to question 13.				Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
What is your State of residence (if any)? (See Instructions for Question 13.) TEXAS		14. What is your country of citizenship? (List/check more than one, if applicable. If you are a citizen of the United States, proceed to question 16.) <input checked="" type="checkbox"/> United States of America <input type="checkbox"/> Other (Specify) _____		15. If you are not a citizen of the United States, what is your U.S.-issued alien number or admission number?	

I certify that my answers to Section A are true, correct, and complete. I have read and understand the Notices, Instructions, and Definitions on ATF Form 4473. I understand that answering "yes" to question 11.a. if I am not the actual buyer is a crime punishable as a felony under Federal law, and may also violate State and/or local law. I understand that a person who answers "yes" to any of the questions 11.b. through 11.k. is prohibited from purchasing or receiving a firearm. I understand that a person who answers "yes" to question 11.l. is prohibited from purchasing or receiving a firearm, unless the person also answers "yes" to question 12. I also understand that making any false oral or written statement, or exhibiting any false or misrepresented identification with respect to this transaction, is a crime punishable as a felony under Federal law, and may also violate State and/or local law. I further understand that the repetitive purchase of firearms for the purpose of resale for livelihood and profit without a Federal firearms license is a violation of law (See Instructions for Question 16).

16. Transferee's/Buyer's Signature

17. Certification Date

5-18-09

Section B - Must Be Completed By Transferor (Seller)

18. Type of firearm(s) to be transferred (check or mark all that apply):

☐ Handgun ☒ Long Gun ☐ Other Firearm (Frame, Receiver, etc.
(rifles or See Instructions for Question 18.)
shotguns)

19. If sale at a gun show or other qualifying event.

Name of Event

City, State

20a. Identification (e.g., Virginia Driver's license (VA DL) or other valid government-issued photo identification.) (See Instructions for Question 20.a.)

Issuing Authority and Type of Identification

Number on Identification

Expiration Date of Identification (if any)

Month

Day

Year

TX DL

21003580

11

26

2014

20b. Alternate Documentation (if driver's license or other identification document does not show current residence address)

20c. All Aliens: Type and dates of documents that establish 90-day residency (e.g., utility bills or lease agreements). (See Instructions for Question 20.c.)

Type(s) of Document

Date(s) of residence indicated on documents

20d. Nonimmigrant Aliens Must Provide: Type of documentation showing an exception to the nonimmigrant alien prohibition. (See Instructions for Question 20.d.)

Questions 21, 22, or 23 Must Be Completed Prior To The Transfer Of The Firearm(s) (See Instructions for Questions 21, 22 and 23.)

21a. Date the transferee's identifying information in Section A was transmitted to NICS or the appropriate State agency: (Month/Day/Year)

Month

Day

Year

5

18

09

21b. The NICS or State transaction number (if provided) was:

1D0h-XLZ

21c. The response initially provided by NICS or the appropriate State agency was:

☐ Proceed
☐ Denied
☐ Cancelled

☒ Delayed

[The firearm(s) may be transferred on
05-22-09 (MDI date provided by NICS)
if State law permits (optional)]

21d. If initial NICS or State response was "Delayed," the following response was received from NICS or the appropriate State agency:

☐ Proceed (date)☒ Denied 5-19-09 (date)☐ Cancelled (date)☐ No resolution was provided within 3 business days.

21e. (Complete if applicable.) After the firearm was transferred, the following response was received from NICS or the appropriate State agency on:

(date).

☐ Proceed☐ Denied☐ Cancelled

21f. The name and Brady identification number of the NICS examiner (Optional)

RANDY
(name)1432
(number)22. ☐ No NICS check was required because the transfer involved only NFA firearm(s). (See Instructions for Question 22.)23. ☐ No NICS check was required because the buyer has a valid permit from the State where the transfer is to take place, which qualifies as an exemption to NICS (See Instructions for Question 23.)

Issuing State and Permit Type

Date of Issuance (if any)

Expiration Date (if any)

Permit Number (if any)

Section C - Must Be Completed Personally By Transferee (Buyer)

If the transfer of the firearm(s) takes place on a different day from the date that the transferee (buyer) signed Section A, the transferee must complete Section C immediately prior to the transfer of the firearm(s). (See Instructions for Question 24 and 25.)

I certify that my answers to the questions in Section A of this form are still true, correct and complete.

24. Transferee's/Buyer's Signature

25. Recertification Date

Section D - Must Be Completed By Transferor (Seller)

26. Manufacturer and/or importer (If the manufacturer and importer are different, the FFL should include both.)	27. Model	28. Serial Number	29. Type (pistol, revolver, rifle, shotgun, receiver, frame, etc.) (See instructions for question 29)	30. Caliber or Gauge
Remington	P70	AB 749 891 M	Shotgun	12

30a. Total Number of Firearms (Please handwritten by printing e.g., one, two, three, etc. Do not use numerals.)
One

30b. Is any part of this transaction a Pawn Redemption? ☐ Yes ☒ No

30c. For Use by FFL (See Instructions for Question 30c.)

Complete ATF Form 3310.4 For Multiple Purchases of Handguns Within 5 Consecutive Business Days

31. Trade/corporate name and address of transferor (seller) (Hand stamp may be used.) WINCHESTER GALLERY, INC. 6054 E. LANCASTER AVE. FT WORTH, TEXAS 76112	32. Federal Firearms License Number (Must contain at least first three and last five digits of FFL Number X-XX-XXXXX.) (Hand stamp may be used.) FFL LIC. #5-75-439-01-9F-41424 PH. (817) 496-9391 FAX (817) 496-3133
---	--

The Person Transferring The Firearm(s) Must Complete Questions 33-36. www.winchestergallery.com
The Person Who Completed Section B Must Complete Questions 33-35.

I certify that my answers in Sections B and D are true, correct, and complete. I have read and understand the Notices, Instructions, and Definitions on ATF Form 4473. On the basis of: (1) the statements in Section A (and Section C if the transfer does not occur on the day Section A was completed); (2) my verification of the identification noted in question 20a (and my reverification at the time of transfer if the transfer does not occur on the day Section A was completed); and (3) the information in the current State Laws and Published Ordinances, it is my belief that it is not unlawful for me to sell, deliver, transport, or otherwise dispose of the firearm(s) listed on this form to the person identified in Section A.

33. Transferor's/Seller's Name (Please print) <u>DAVID Gopp</u>	34. Transferor's/Seller's Signature <u>David Gopp</u>	35. Transferor's/Seller's Title <u>SALES</u>	36. Date Transferred
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NOTICES, INSTRUCTIONS AND DEFINITIONS

Purpose of the Form: The information and certification on this form are designed so that a person licensed under 18 U.S.C. § 923 may determine if he or she may lawfully sell or deliver a firearm to the person identified in Section A, and to alert the buyer of certain restrictions on the receipt and possession of firearms. This form should only be used for sales or transfers where the seller is licensed under 18 U.S.C. § 923. The seller of a firearm must determine the lawfulness of the transaction and maintain proper records of the transaction. Consequently, the seller must be familiar with the provisions of 18 U.S.C. §§ 921-931 and the regulations in 27 CFR Part 478. In determining the lawfulness of the sale or delivery of a long gun (rifle or shotgun) to a resident of another State, the seller is presumed to know the applicable State laws and published ordinances in both the seller's State and the buyer's State.

After the seller has completed the firearms transaction, he or she must make the completed, original ATF Form 4473 (which includes the Notices, General Instructions, and Definitions), and any supporting documents, part of his or her permanent records. Such Forms 4473 must be retained for at least 20 years. Filing may be chronological (by date), alphabetical (by name), or numerical (by transaction serial number), as long as all of the seller's completed Forms 4473 are filed in the same manner. FORMS 4473 FOR DENIED/CANCELLED TRANSFERS MUST BE RETAINED: If the transfer of a firearm is denied/cancelled by NICS, or if for any other reason the transfer is not complete after a NICS check is initiated, the licensee must retain the ATF Form 4473 in his or her records for at least 5 years. Forms 4473 with respect to which a sale, delivery, or transfer did not take place shall be separately retained in alphabetical (by name) or chronological (by date of transferee's certification) order.

If you or the buyer discover that an ATF Form 4473 is incomplete or improperly completed after the firearm has been transferred, and you or the

buyer wish to make a record of your discovery, then photocopy the inaccurate form and make any necessary additions or revisions to the photocopy. You only should make changes to Sections B and D. The buyer should only make changes to Sections A and C. Whoever made the changes should initial and date the changes. The corrected photocopy should be attached to the original Form 4473 and retained as part of your permanent records.

Over-the-Counter Transaction: The sale or other disposition of a firearm by a seller to a buyer, at the seller's licensed premises. This includes the sale or other disposition of a rifle or shotgun to a nonresident buyer on such premises.

State Laws and Published Ordinances: The publication (ATF P 5300.5) of State firearms laws and local ordinances ATF distributes to licensees.

Exportation of Firearms: The State or Commerce Departments may require you to obtain a license prior to export.

Section A

Question 1. Transferee's Full Name: The buyer must personally complete Section A of this form and certify (sign) that the answers are true, correct, and complete. However, if the buyer is unable to read and/or write, the answers (other than the signature) may be completed by another person, excluding the seller. Two persons (other than the seller) must then sign as witnesses to the buyer's answers and signature.

When the buyer of a firearm is a corporation, company, association, partnership, or other such business entity, an officer authorized to act on behalf of the business must complete Section A of the form with his or her personal information, sign Section A, and attach a written statement, executed under penalties of perjury, stating: (A) the firearm is being acquired for the use of and will be the property of that business entity and (B) the name and address of that business entity.

ATF Form 4473 (5300.9) Part I
Revised August 2008